APPLICATION FOR UNITED STATES PATENT

FOR

METHOD AND APPARATUS FOR VOICE SIGNAL EXTRACTION

IN THE NAME OF

GAMZE ERTEN

FOR

CLARITY

ATTORNEY DOCKET NO. 20675.726

Please direct communications to:

WILSON SONSINI GOODRICH & ROSATI
650 Page Mill Road
Palo Alto, CA. 94304
(650) 493-9300

Express Mail Number: ___ EL757542507US ___

Method And Apparatus For Voice Signal Extraction

RELATED APPLICATIONS

This application claims the benefit of United States Provisional Application
5   Number 60/193,779, filed March 31, 2000, incorporated herein by reference.

GOVERNMENT LICENSE RIGHTS

The United States Government may have certain rights in some aspects of
10   the invention claimed herein, as the invention was made with United States
Government support under award/contract number F33615-98-C-1230 issued by
Department of Defense Small Business Innovative Research (SBIR) Program.

BACKGROUND

15   **Field of the Invention**

This present invention relates to the field of noise reduction in speech-based
systems. In particular, the present invention relates to the extraction of a target
audio signal from a signal environment.

20   **Description of Related Art**

Speech-based systems and technologies are becoming increasingly
commonplace. Among some of the more popular deployments are cellular
telephones, hand-held computing devices, and systems that depend upon speech
recognition functionality. Accordingly, as speech based technologies become
25   increasingly commonplace, the primary barrier to the proliferation and user
acceptance of such speech-based technologies are the noise or interference sources

that contaminate the speech signal and degrade the performance and quality of speech processing results. The current commercial remedies, such as noise cancellation filters and noise canceling microphones have been inadequate to deal with a multitude of real world situations, at best providing limited improvement, and at times making matters worse.

Noise contamination of a speech signal occurs when sound waves emanating from objects present in the environment, including other speech sources, mix and interfere with the sound waves produced by the speech source of interest. Interference occurs along three dimensions. These dimensions are time, frequency, and direction of arrival. The time overlap occurs as a result of multiple sound waves registering simultaneously at a receiving transducer or device. Frequency or spectrum overlap occurs and is particularly troublesome when mixing the sound sources have common frequency components. The overlap in direction of arrival arises because the sound sources may occupy any position around the receiving device and thus may exhibit similar directional attributes in the propagation of the corresponding sound waves.

An overlap in time results in the reception of mixed signals at the acoustic transducer or microphone. The mixed signal contains a combination of attributes of the sound sources, degrading both sound quality as well as the result of subsequent processing of the signal. Typical solutions to time overlap discriminate between signals that overlap in time based on distinguishing signal attributes in frequency, content, or direction of arrival. However, the typical solutions can not distinguish

between signals that overlap in time, spectrum, or direction of arrival simultaneously.

The typical technologies may be generally categorized in two generic groups: a spatial filter group; and, a frequency filter group. The spatial filter group employs spatial filters that discriminate between signals based on the direction of arrival of the respective signals. Correspondingly, the frequency filter group employs frequency filters that discriminate between signals based on the frequency characteristics of the respective signals.

Regarding frequency filters, when signals originating from multiple sources do not overlap in spectrum, and the spectral content of the signals is known, a set of frequency filters, such as low pass filters, bandpass filters, high pass filters, or some combination of these can be used to solve the problem. Frequency filters are used to filter out the frequency components that are not components of the desired signal. Thus, frequency filters provide limited improvement in isolating the particular desired signal by suppressing the accompanying surrounding interference audio signals. Again, however, the typical frequency filter-based solutions can not distinguish between signals that overlap in frequency content, i.e., spectrum.

An example frequency based method of noise suppression is spectral subtraction, which records noise content during periods when the speaker is silent and subtracts the spectrum of this noise content from the signal recorded when the speaker is active. This may produce unnatural effects and inadvertently remove some of the speech signal along with the noise signal.

When signals originating from multiple sources have little or no overlap in their direction of arrival and the direction of arrival of the signal of interest is known, the problem can be solved to a great extent with the use of spatial filters. Many array microphones utilize spatial filtering techniques. Directional microphones, too, provide some attenuation of signals arriving from the non-preferred direction of the microphone. For example, by holding a directional microphone to the mouth, a speaker can make sure the directional microphone predominantly picks up his/her voice. The directional microphone cannot solve the problems arising from overlap in time and spectrum, however.

As such, current technologies suppress noise, like many other competing noise cancellation technologies, which does not necessarily result in the isolation of the desired signal, as certain parts of the desired signal are susceptible to actually being filtered out or corrupted during the filtering process. Moreover, in order to operate within design parameters, the typical technologies generally require that the interfering sounds either arrive from different directions, or contain different frequency components. As such, the current technologies are limited to a prescribed domain of acoustical and environmental conditions.

Consequently, the typical techniques used to produce clean audio signals have shortfalls that do not address a multitude of real world situations which require the simultaneous consideration of all environments (e.g., overlap in time, overlap in direction of arrival, overlap in spectrum). Thus, an apparatus and method is needed

that addresses the multitude of real world noise situations by considering all types of signal interference.

SUMMARY

A method is provided for positioning the individual elements of a microphone arrangement including at least two microphone elements. Upon estimating the potential positions of the sources of signals of interest as well as

5   potential positions of interfering signal sources, a set of criteria are defined for acceptable performance of a signal processing system. The signal processing system distinguishes between the signals of interest and signals which interfere with the signals of interest. After defining the criteria, the first element of the microphone arrangement is positioned in a convenient location. The defined criteria place

10   constraints upon the placement of the subsequent microphone elements. For a two microphone arrangement, the criteria may include: avoidance of microphone placements which lead to identical signals being registered by the two microphone elements; and, positioning microphone elements so that the interfering sound sources registered at the two microphone elements have similar characteristics. For

15   microphone arrangements including more than two microphone elements, some of the criteria may be relaxed, or additional constraints may be added. Regardless of the number of microphone elements in the microphone arrangement, subsequent elements of the microphone arrangement are positioned in a manner that assures adherence to the defined set of criteria for the particular number of microphones.

20   The positioning methods are used to provide numerous microphone arrays or arrangements. Many examples of such microphone arrangements are provided, some of which are integrated with everyday objects. Further, these methods are

used in providing input data to a signal processing system or speech processing system for sound discrimination. Moreover, enhancements and extensions are provided for a signal processing system or speech processing system for sound discrimination that uses the microphone arrangements as a sensory front end. The microphone arrays are integrated into a number of electronic devices.

The descriptions provided herein are exemplary and explanatory and are intended to provide examples of the claimed invention.

BRIEF DESCRIPTION OF THE FIGURES

The accompanying figures illustrate embodiments of the claimed invention. In the figures:

Figure 1 is a flow diagram of a method for determining microphone placement for use with a voice extraction system of an embodiment.

Figure 2 shows an arrangement of two microphones of an embodiment that satisfies the placement criteria.

Figure 3 is a detail view of the two microphone arrangement of an embodiment.

Figures 4A and 4B show a two-microphone arrangement of a voice extraction system of an embodiment.

Figures 5A and 5B show alternate two-microphone arrangements of a voice extraction system of an embodiment.

Figures 6A and 6B show additional alternate two-microphone arrangements of a voice extraction system of an embodiment.

Figures 7A and 7B show further alternate two-microphone arrangements of a voice extraction system of an embodiment.

Figure 8 is a top view of a two-microphone arrangement of an embodiment showing multiple source placement relative to the microphones.

Figure 9 shows microphone array placement of an embodiment on various hand-held devices.

Figure 10 shows microphone array placement of an embodiment in an automobile telematic system.

Figure 11 shows a two-microphone arrangement of a voice extraction system of an embodiment mounted on a pair of eye glasses or goggles.

Figure 12 shows a two-microphone arrangement of a voice extraction system of an embodiment mounted on a cord.

Figures 13A-C show three two-microphone arrangements of a voice extraction system of an embodiment mounted on a pen or other writing or pointing instrument.

Figure 14 shows numerous two-microphone arrangements of a voice extraction system of an embodiment.

Figure 15 shows a microphone array of an embodiment including more than two microphones.

Figure 16 shows another microphone array of an embodiment including more than two microphones.

Figure 17 shows an alternate microphone array of an embodiment including more than two microphones.

Figure 18 shows another alternate microphone array of an embodiment including more than two microphones.

Figures 19A-C show other alternate microphone arrays of an embodiment comprising more than two microphones.

Figures 20A and 20B show typical feedforward and feedback signal separation architectures.

Figure 21A shows a block diagram of a representative voice extraction architecture of an embodiment receiving two inputs and providing two outputs.

Figure 21B shows a block diagram of a voice extraction architecture of an embodiment receiving two inputs and providing five outputs.

Figures 22A-D show four types of microphone directivity patterns used in an embodiment.

DETAILED DESCRIPTION

A method and system for performing blind signal separation in a signal processing system is disclosed in United States Application Serial Number 09/445,778, "Method and Apparatus for Blind Signal Separation," incorporated herein by reference. Further, this signal processing system and method is extended to include feedback architectures in conjunction with the state space approach in United States Application Serial Number 09/701,920, "Adaptive State Space Signal Separation, Discrimination and Recovery Architectures and Their Adaptations for Use in Dynamic Environments," incorporated herein by reference. These pending patents disclose general techniques for signal separation, discrimination, and recovery that can be applied to numerous types of signals received by sensors that can register the type of signal received. Also disclosed is a sound discrimination system, or voice extraction system, using these signal processing techniques. The process of separating and capturing a single voice signal of interest free, at least in part, of other sounds or less encumbered or masked by other sounds is referred to herein as "voice extraction".

The voice extraction system of an embodiment isolates a single voice signal of interest from a mixed or composite environment of interfering sound sources so as to provide pure voice signals to speech processing systems including, for example, speech compression, transmission, and recognition systems. Isolation includes, in particular, the separation and isolation of the target voice signal from the sum of all sounds present in the environment and/or registered by one or more sound

sensing devices. The sounds present include background sounds, noise, multiple speaker voices, and the voice of interest, all overlapping in time, space, and frequency.

The single voice signal of interest may be arriving from any direction, and the direction may be known or unknown. Moreover, there may be more than a single signal source of interest active at any given time. The placement of sound or signal receiving devices, or microphones, can affect the performance of the voice extraction system, especially in the context of applying blind signal separation and adaptive state space signal separation, discrimination and recovery techniques to audio signal processing in real world acoustic environments. As such, microphone arrangement or placement is an important aspect of the voice extraction system.

In particular, the voice extraction system of an embodiment distinguishes among interfering signals that overlap in time, frequency, and direction of arrival. This isolation is based on inter-microphone differentials in signal amplitude and the statistical properties of independent signal sources, a technique that is in contrast to typical techniques that discriminate among interfering signals based on direction of arrival or spectral content. The voice extraction system functions by performing signal extraction not just on a single version of the sound source signals, but on multiple delayed versions of each of the sound signals. No spectral or phase distortions are introduced by this system.

The use of signal separation for voice extraction implicates several implementation issues in the design of receiving microphone arrangements or arrays.

One issue involves the type and arrangement of microphones used in sensing a single voice signal of interest (as well as the interfering sounds), either alone, or in conjunction with voice extraction, or with other signal processing methods. Another issue involves a method of arranging two or more microphones for voice extraction so that optimum performance is achieved. Still another issue is determining a method for buffering and time delaying signals, or otherwise processing received signals so as to maintain causality. A further issue is determining methods for deriving extensions of the core signal processing architecture to handle underdetermined systems, wherein the number of signal sources that can be discriminated from other signals is greater than the number of receivers. An example is when a single source of interest can be extracted from the sum of three or more signals using only two sound sensors.

Figure 1 is a flow diagram of a method for determining microphone placement for use with a voice extraction system of an embodiment. Operation begins by considering all positions that the voice source or sources or interest can take in a particular context 102. All possible positions are also considered that the interfering sound source or sources can take in a particular context 104. Criteria are defined for acceptable voice extraction performance in the equipment and settings of interest 106. A microphone arrangement is developed, and the microphones are arranged 108. The microphone arrangement is then compared with the criteria to determine if any of the criteria are violated 110. If any criteria are violated then a new arrangement is developed 108. If no criteria are violated, then a prototype

microphone arrangement is formed 112, and performance of the arrangement is tested 114. If the prototype arrangement demonstrates acceptable performance then the prototype arrangement is finalized 116. Unacceptable prototype performance leads to development of an alternate microphone arrangement 108.

5   Two-microphone systems for extracting a single signal source are of particular interest as many audio processing systems, including the voice extraction system of an embodiment, use at least two microphones or two microphone elements. Furthermore, many audio processing systems only accommodate up to two microphones. As such, a two-microphone placement model is now described.

10   Two microphones provide for the isolation of, at most, two source signals of interest at any given time. In other words, two inputs from two sensors, or microphone elements, imply that the generic voice extraction system based on signal separation can generate two outputs. The extension techniques described herein provide for generation of a larger or smaller number of outputs.

15   Since in many cases there may be numerous interfering sources and a single signal of interest, one is often interested in isolating a single sound source (e.g., the voice of the user of a device, such as a cellular phone) from all other interfering sources. In this specific case, which also happens to have very broad applicability, a number of placement criteria are considered. These placement criteria are derived

20   from the fact that there are two microphones in the arrangement and that the sound source and interference sources have many possible combinations of positions. A first consideration is the need to have different linear combinations of the single

source of interest and the sum of all interfering sources. Another consideration is the need to register the sum of interfering sources as similarly as possible, so that the sum registered by one microphone closely resembles the sum registered by the other microphone. A third consideration is the need to designate one of the two output

5   channels as the output that most closely captures the source of interest.

The first placement criteria arises as a result of the systems singularity constraint. The system fails when the two microphones provide redundant information. Although true singularity is hard to achieve in the real world, numerical evaluation becomes more cumbersome and demanding as the inputs from

10   the two sensors, which register combinations of the voice signal of interest and all other sounds, approach the point of singularity. Therefore, for optimum performance, the microphone arrangement should steer as far away from singularity as possible by minimizing the singularity zone and the probability that a singular set of outputs will be produced by the two acoustic sensors. It should be noted that the

15   singularity constraint is surmountable with more sophisticated numerical processing.

The second placement criteria arises as a result of the presence of many interfering sound sources that contaminate the sound signal from a single source of interest. This problem requires re-formulation of the classic presentation of the signal separation problem, which provides a constrained framework, where only two

20   distinct sources can be distinguished from one another with two microphones. In many real world situations, rather than a second single interfering source, there is present a sum of many interfering sources. A reversion back to the classic problem

statement could be made if the sum of many sources would act as a single source for both microphones. Given that the position of the source of interest is often much closer than the positions the interfering sources can assume, this is a reasonable approximation. Since the interfering sources are very often further away than the single source of interest, their inter-microphone differences in amplitude can be much lower than the inter-microphone differences in amplitude generated by the single source of interest, which is assumed to be much closer to the microphones.

The third placement criteria is explained as follows. In the context of many applications, voice extraction must be implemented as a signal processing system composed of finite impulse response (FIR) and/or infinite impulse response (IIR) filters. To be realizable as an analog or digital signal processing system composed of FIR or IIR filters, a system must obey causality. One of the restrictions of causality is that it prevents the estimation of source signal values not yet obtained, i.e., signal values beyond time instant (t). That is, filters can only estimate source values for the time instants (t-δ) where δ is nonnegative. Consequently, a "source of interest" microphone is designated with reference to time so that it always receives the source of interest signal first. This microphone will receive the time (t) instant of the source of interest signal; whereas the second microphone receives a time delayed (t-δ) instant signal. In this case, δ will be determined by the spacing between the two microphones, the position of the source of interest and the velocity of the propagating sound wave. This requirement is reinforced further with

feedback architectures, where the source signal is found by subtracting off the interfering signal.

Further analysis and experimentation with a set of specific microphone types and directivity patterns, placement position, and attitude, supports the establishment
5   of a set of relationships among the named parameters and the degree of separation or success of voice extraction. These three criteria are used as guides in searching this space.

Figure 2 shows an arrangement 200 of two microphones of an embodiment that satisfies the placement criteria. **Figure 3** is a detail view 300 of the two
10 microphone arrangement of an embodiment. The single voice source is represented by S. Signals arriving from noise sources are represented by N. An analysis is now provided wherein the arrangement is shown to obey the placement criteria.

A primary signal source of interest S is located r units away from the first microphone (m_1) and r + d units away from the second microphone (m_2). Interfering
15 with the source S are multiple noise sources, for example N_0 and N_θ, located at various distances from the microphones. The interfering noise sources are individually approximated by dummy noise sources N_θ, each located on a circle of radius R with its center at the second microphone (m_2). The subscript of the noise source designates its angular position (θ) namely the angle between the line of sight from the noise source to the midpoint of the line joining the two microphones and
20 the line joining the two microphones.

Selection of the second microphone as the center is a matter of convenience and a way to designate the second microphone as the sum of all interfering sources. Note that this designation is not strict, as is the case with the source of interest, and does not imply that the signals generated by the noise sources arrive at the second microphone before they arrive at the first. In fact, when $\theta > 180$, the opposite is true. Furthermore, each of the dummy noise sources is assumed to be generating a planar wave front due to the distance of the actual noise source it is approximating. Each of the interfering dummy sources are R units away from the second microphone and R+d sin(θ) units away from the first microphone.

Given these approximations, the actual signals incident on each of the microphones are estimated as follows:

$$m_1(t) = \frac{S(t)}{r} + \sum_\theta \frac{N_\theta(t - \frac{d\sin(\theta)}{v})}{R + d\sin(\theta)}$$

$$m_2(t) = \frac{S(t - \frac{d}{v})}{r + d} + \sum_\theta \frac{N_\theta(t)}{R}$$

where v is the velocity of the propagating sound wave. It is seen from these equations that the two microphones have different linear combinations of the single source of interest and the sum of all interfering sources. The first output channel is designated as the output that most closely captures the source of interest by designating the first microphone as "the source of interest microphone". Thus, the first and third placement criteria are easily satisfied. The degree to which the second criterion, namely registering the sum of interfering sources as similarly as possible,

is satisfied is a function of the distance between the two microphones, d. Making d small would help the second criterion, but might compromise the first and third criteria. Thus, the selection of the value for d is a trade-off between these conflicting constraints. In practice, distances substantially in the range from 0.5

5   inches to 4 inches have been found to yield satisfactory performance.

Application of the placement criteria to placement of more than two microphones requires the criteria to be revised for multiple sources of interest and an arrangement for more than two microphones. The first criterion is revised to include the need to have different linear combinations of the multiple sources of interest and

10   the sum of all interfering sources. The second criterion is revised to include the need to register the sum of interfering sources as similarly as possible, so that one sum closely resembles the other. The third criteria is revised to include the need to designate a set of the multiple output channels as the outputs that most closely capture the multiple source of interest and label each channel per its corresponding

15   source of interest. Further analysis and experimentation with a set of specific microphone types and directivity patterns, placement positions, and attitude with respect to signal propagation and target acoustic environment supports a determination of specific arrangements and spacing that are suitable or optimal for voice extraction using more than two microphones.

20   In the context of many applications, voice extraction is implemented as a signal processing system composed of FIR and/or IIR filters. To be realizable as an analog or digital signal processing system composed of FIR or IIR filters, a system

has to obey causality. A technique for maintaining causality at all times is now described.

With reference to **Figure 3**, for interfering noise sources N_θ where $180 < \theta < 360$, the quantity $d \sin(\theta) < 0$. In this case the summed element N_θ in the first microphone equation references a time instant in the future and, thus, not yet available. This breach of causality can be remedied by appropriately delaying the first microphone signal. If the first microphone is delayed by the amount d/v, then the microphone equations is written as:

$$m_1(t - \frac{d}{v}) = \frac{S(t - \frac{d}{v})}{r} + \sum_\theta \frac{N_\theta(t - \frac{d \sin(\theta)}{v} - \frac{d}{v})}{R + d \sin(\theta)}$$

$$m_2(t) = \frac{S(t - \frac{d}{v})}{r + d} + \sum_\theta \frac{N_\theta(t)}{R}$$

Now two time-delayed versions of the speech source and the first microphone are defined as:

$$S'(t) = S(t - \frac{d}{v})$$

$$m_1'(t) = m_1(t - \frac{d}{v})$$

With these definitions the new equations for the microphone signals can be written as:

$$m_1'(t) = \frac{S'(t)}{r} + \sum_\theta \frac{N_\theta(t - \frac{d(1 + \sin(\theta))}{v})}{R + d \sin(\theta)}$$

$$m_2(t) = \frac{S'(t)}{r + d} + \sum_\theta \frac{N_\theta(t)}{R}$$

Since $(1+\sin(\theta))$ is always greater than or equal to zero, with the delay compensation modification, all terms reference present or past time instances and thus uphold the causality constraint. With this method an increase can be had in the number of voice (or other sound) sources of interest which can be extracted.

5   The voice extraction system of an embodiment, using blind signal separation, processes information from at least two signals. This information is received using two microphones. As many voice signal processing systems may only accommodate up to two microphones, a number of two-microphone placements are provided in accordance with the techniques presented herein.

10   The two-microphone arrangements provided herein discriminate between the voice of a single speaker and the sum of all other sound sources present in the environment, whether environmental noise, mechanical sounds, wind noise, other voices, and other sound sources. The position of the user is expected to be within a range of locations.

15   It is noted that the microphone elements are depicted using hand-held microphone icons. This is for illustration purposes only, as it easily supports depiction of the microphone axis. The actual microphone elements are any of a number of configurations found in the art, comprising elements of various sizes and shapes.

20   **Figures 4A and 4B** show a two-microphone arrangement 402 of a voice extraction system of an embodiment. **Figure 4A** is a side view of the two-

microphone arrangement 402, and **Figure 4B** is a top view of the two-microphone arrangement 402. This arrangement 402 shows two microphones where both have a hypercardioid sensing pattern 404, but the embodiment is not so limited as one or both of the microphones can have one of or a combination of numerous sensing patterns including omnidirectional, cardioid, or figure eight sensing patterns. The spacing is designed to be approximately 3.5 cm. In practice, spacings substantially in the range 1.0 cm to 10.0 cm have been demonstrated.

Figures 5A and 5B show alternate two-microphone arrangements 502-508 of a voice extraction system of an embodiment. **Figure 5A** is a side view of the microphone arrangements 502-508, and **Figure 5B** is a top view of the microphone arrangements 502-508. Each of these microphone arrangements 502-508 place the microphone axes perpendicular or nearly perpendicular to the direction of sound wave propagation 510. Further, each of the four microphone pair arrangements 502-508 provide options for which one microphone is closer to the signal source 599. Therefore, the closer microphone receives a voice signal with greater power earlier than the distant microphone receives the voice signal with diminished power. Using these arrangements, the sound source 599 can assume a broad range of positions along an arc 512 spanning 180 degrees around the microphones 502-508.

Figures 6A and 6B show additional alternate two-microphone arrangements 602-604 of a voice extraction system of an embodiment. **Figure 6A** is a side view of the microphone arrangements 602-604, and **Figure 6B** is a top view of the microphone arrangements 602-604. These two microphone arrangements 602-604

support the approximately simultaneous extraction of two voice sources 698 and 699 of interest. Either voice can be captured when both voices are active at the same time; furthermore, both of the voices can be simultaneously captured.

These microphone arrangements 602-604 also place the microphone axes

5   perpendicular or nearly perpendicular to the direction of sound wave propagation 610. Further, each of the microphone pair arrangements 602-604 provide options for which a first microphone is closer to a first signal source 698 and a second microphone is closer to a second signal source 699. This results in the second microphone serving as the distant microphone for the first source 698 and the first

10 microphone serving as the distant microphone for the second source 699. Therefore, the closer microphone to each source receives a signal with greater power earlier than the distant microphone receives the same signal with diminished power. Using this arrangement 602-604, the sound sources 698 and 699 can assume a broad range of positions along each of two arcs 612 and 614 spanning 180 degrees around the

15 microphones 602-604. However, for best performance the sound sources 698 and 699 should not both be in the singularity zone 616 at the same time.

Figures 7A and 7B show further alternate two-microphone arrangements 702-714 of a voice extraction system of an embodiment. **Figure 7A** is a side view of the seven microphone arrangements 702-714, and **Figure 7B** is a top view of the

20 microphone arrangements 702-714. These microphone arrangements 702-714 place the microphone axes parallel or nearly parallel to the direction of sound wave propagation 716. Further, each of the seven microphone pair arrangements 702-714

provide options for which one microphone is closer to the signal source 799. Therefore, the closer microphone receives a voice signal with greater power earlier than the distant microphone receives the voice signal with diminished power. Using these arrangements 702-714, the sound source 799 can assume a broad range of positions along an arc 718 spanning a range of approximately 90 to 120 degrees around the microphones 702-714.

These microphone arrangements 702-714 further support the approximately simultaneous extraction of two voice sources of interest. Either voice can be captured when both voices are active at the same time; furthermore, both of the voices can be simultaneously captured. **Figure 8** is a top view of one 802 of these microphone arrangements 702-714 of an embodiment showing source placement 898 and 899 relative to the microphones 802. Using any one 802 of these seven arrangements 702-714, one sound source 899 can assume a broad range of positions along an arc 804 spanning approximately 270 degrees around the microphone array 802. The second sound source 898 is confined to a range of positions along an arc 806 spanning approximately 90 degrees in front of the microphone array 802. Angular separation of the two voice sources 898 and 899 can be smaller with increasing spacing between the two microphones 802.

The voice extraction system of an embodiment can be used with numerous speech processing systems and devices including, but not limited to, hand-held devices, vehicle telematic systems, computers, cellular telephones, personal digital assistants, personal communication devices, cameras, helmet-mounted

communication systems, hearing aids, and other wearable sound enhancement, communication, and voice-based command devices. **Figure 9** shows microphone array placement 999 of an embodiment on various hand-held devices 902-910.

Figure 10 shows microphone array 1099 placement of an embodiment in an automobile telematics system. Microphone array placement within the vehicle can vary depending on the position occupied by the source to be captured. Further, multiple microphone arrays can be used in the vehicle, with placement directed at a particular passenger position in the vehicle. Microphone array locations in an automobile include, but are not limited to, pillars, visor devices 1002, the ceiling or headliner 1004, overhead consoles, rearview mirrors 1006, the dashboard, and the instrument cluster. Similar locations could be used in other vehicle types, for example aircraft, trucks, boats, and trains.

Figure 11 shows a two-microphone arrangement 1100 of a voice extraction system of an embodiment mounted on a pair of eye glasses 1106 or goggles. The two-microphone arrangement 1100 includes microphone elements 1102 and 1104. This microphone array 1100 can be part of a hearing aid that enhances a voice signal or sound source arriving from the direction which the person wearing the eye glasses 1106 faces.

Figure 12 shows a two-microphone arrangement 1200 of a voice extraction system of an embodiment mounted on a cord 1202. An earpiece 1204 communicates the audio signal played back or received by device 1206 to the ear of the user. The two microphones 1208 and 1210 are the two inputs to the voice

extraction system enhancing the user's voice signal which is input to the device 1206.

Figures 13A, B, and C show three two-microphone arrangements of a voice extraction system of an embodiment mounted on a pen 1302 or other writing or pointing instrument. The pen 1302 can also be a pointing device, such as a laser pointer used during a presentation.

Figure 14 shows numerous two-microphone arrangements of a voice extraction system of an embodiment. One arrangement 1410 includes microphones 1412 and 1414 having axes perpendicular to the axis of the supporting article 1416. Another arrangement 1420 includes microphones 1422 and 1424 having axes parallel to the axis of the supporting article 1426. The arrangement is determined based on the location of the supporting article relative to the sound source of interest. The supporting article includes a variety of pins that can be worn on the body 1430 or on an article of clothing 1432 and 1434, but is not so limited. The manner in which the pin can be worn includes wearing on a shirt collar 1432, as a hair pin 1430, and on a shirt sleeve 1434, but are not so limited.

Extension of the two microphone placement criteria also provides numerous microphone placement arrangements for microphone arrays comprising more than two microphones. As with the two microphone arrangements, the arrangements for more than two microphones can be used for discriminating between the voice of a single user and the sum of all other sound sources present in the environment, whether environmental noise, mechanical sounds, wind noise, or other voices.

Figures 15 and 16 show microphone arrays 1500 and 1600 of an embodiment comprising more than two microphones. The arrays 1500 and 1600 are formed using multiple two-microphone elements 1502 and 1602. Microphone elements positioned directly behind one another function as a two-microphone element dedicated to voice sources emanating from an associated zone around the array. These embodiments 1500 and 1600 include nine two-microphone elements, but are not so limited. Voices from nine speakers (one per zone) can be simultaneously extracted with these arrays 1500 and 1600. The number of voices extracted can further be increased to 18 when causality is maintained. Alternately, a set of nine or less speakers can be moved within a zone or among zones.

Figure 17 shows an alternate microphone array 1700 of an embodiment comprising more than two microphones. This array 1700 is also formed by placing microphones in a circle. When paired with a center microphone 1702 of the array, a microphone on the array perimeter 1704 and the microphone in the center 1702 function as a two-microphone element 1799 dedicated to voice sources emanating from an associated zone 1706 around the array. However, in this array the center microphone element 1702 is common to all two-microphone elements. This embodiment includes microphone elements 1799 supporting eight zones 1706, but is not so limited. Voices from eight speakers (one per zone) can be simultaneously extracted with this array 1700. The number of voices extracted can further be increased to 16 (two per zone) when causality is maintained. Alternately, a set of eight or less speakers can be moved within a zone or among zones.

Figure 18 shows another alternate microphone array 1800 of an embodiment comprising more than two microphones. This array 1800 is also formed in a manner similar to the arrangement shown in **Figure 17,** but the microphones along the circle have their axes pointing in a direction away from the center of the circle. The

5   microphone elements 1802/1804 function as a two-microphone element dedicated to voice sources emanating from an associated zone 1820 around the array 1800. In this arrangement, as in the arrangement shown in **Figure 17,** center microphone element 1802 is common to the pair that the center microphone makes with the surrounding microphone elements. There are eight two-microphone element pairs as

10   follows: 1804/1802, 1806/1802, 1808/1802, 1810/1802, 1812/1802, 1814/1802, 1816/1802, and 1818/1802. This embodiment uses the nine elements 1802, 1804, 1806, 1808, 1810, 1812, 1814, 1816, and 1818 to support eight zones, but is not so limited. For example, microphone elements 1802/1804 support voice extraction from region 1820; microphone elements 1802/1808 support voice extraction from

15   region 1824; microphone elements 1802/1812 support voice extraction from region 1822; microphone elements 1802/1816 support voice extraction from zone 1826, and so on. Thus, voices from eight speakers (one per zone) can be simultaneously extracted with this array 1800. The number of voices extracted can further be increased to 16 when causality is maintained. Alternately, a set of eight or less

20   speakers can be moving within a zone or among zones.

There is another way in which the array 1800 can be used. One can pair microphone 1804 with microphone 1812 to cover zones 1820 and 1822. This

eliminates the need for the microphone in the center, which leads to the arrangements shown in **Figures 19A-19C**.

Figures 19A-C show other alternate microphone arrays of an embodiment comprising more than two microphones. The arrangements 19A-19C are similar to others discussed herein, but the central microphone or central ring of microphones is eliminated. Therefore, under most circumstances, a set of voices equal to or less than the number of microphone elements can be simultaneously extracted using this array. This is because in the most practical use of the three arrangements 19A-19C, a single sound source of interest is assigned to a single microphone, rather than a pair of microphones.

Arrangement 19A includes four microphones arranged along a semicircular arc with their axes pointing away from the center of the circle. The backside of the microphone arrangement 19A is mounted against a flat surface. Each microphone covers a 45 degree segment or portion of the semicircle. The number of microphones can be increased to yield a higher resolution. Each microphone element can be designated as the primary microphone of the associated zone. Any two or three or all of the microphones can be used as inputs to a two or three or four input voice extraction system. If the number of microphones are a number N greater than four, again any two, three, or more, up to N microphones can be used as inputs to a two, three, or more, up to N input voice extraction system. Arrangement 19A can extract four voices, one per zone. If the number of microphones are

increased to N, N zones each spanning 180/N degrees can be covered and N voices can be extracted.

Arrangement 19B is similar to 19A, but contains eight microphones along a circle instead of four along a semicircle. Arrangement 19B can cover eight zones spanning 45 degrees each.

Arrangement 19C contains microphones whose axes are pointing up. Arrangement 19C may be used when the microphone arrangement must be flush with a flat surface, with no protrusions. Arrangement 19C of an embodiment includes eleven microphones that can be paired in 55 ways and input to two input voice extraction systems. This may be a way of extracting more voices than the number of microphone elements in the array. The number of voices extracted from N microphones can further be increased to (N). (N-1) voices when causality is maintained, since N microphones can be paired in N x (N-1) / 2 ways, and each pair can distinguish between two voices. Some pairings may not be used, however, especially if the two microphones in the pair are close to each other. Alternately, all microphones can be used as inputs to a 11-input voice extraction system.

The microphone arrays that include more than two microphones offer additional advantages in that they provide an expanded range of positions for a single user, and the ability to extract multiple voices of interest simultaneously. The range of voice source positions is expanded because the additional microphones remove or relax limitations on voice source position found in the two microphone arrays.

In the two-microphone array, the position of the user is expected to be within a certain range of locations. The range is somewhat dependent on the directivity pattern of the microphone used and the specific arrangement. For example, when the microphones are positioned parallel to sound wave propagation, the range of user positions that lead to good voice extraction performance is narrower than the range of user positions that result in good performance in the array having the microphones positioned perpendicular to sound wave propagation. This can be inferred from a comparison between **Figure 5** and **Figure 7**. On the other hand, the offending sound sources can come closer to the voice source of interest. This can be inferred by comparing **Figure 6** and **Figure 8**. In contrast, the microphone arrays having more than two microphones allow the voice source of interest to be located at any point along an arc that surrounds the microphone arrangement.

Regarding the ability to simultaneously extract multiple voices of interest, there was an assumption with the two microphone array that a single voice source of interest is present. While the two-microphone array can be extended to two voice sources of interest, the quality and efficiency of the extraction depends upon appropriate positioning of the sources. In contrast, the microphone array including more than two microphone elements reduces or eliminates the source position constraints.

Using the two-microphone arrangement described herein, architectural variations can be formulated for the voice extraction system. These extensions directly translate to alternate procedures for obtaining the voice or other sound or

source signal of interest free of interference. Further, these architectural variations are especially useful for underdetermined systems, where the number of signals sources mixing together before they are registered by sensors are greater than the number of sensors or sensor elements that register them. These architectural

5   extensions are also applicable to signals other than voice signals and sound signals. In that sense, the application domains of the signal separation architecture extensions have many applications that reach beyond voice extraction.

The extension is taken from simple representations of typical signal separation architectures. **Figure 20A** shows a typical feedforward signal separation

10   architecture. **Figure 20B** shows a typical feedback signal separation architecture. In these systems, M(t) is a vector formed from the signals registered by multiple sensors. Further, Y(t) is a vector formed using the output signals. In symmetric architectures, M(t) and Y(t) have the same number of elements.

Figure 21A shows a block diagram of a voice extraction architecture of an

15   embodiment receiving two inputs and providing two outputs. Such a voice extraction architecture and resulting method and system can be used to capture the voice of interest in, for example, the scenario depicted in **Figure 2**. Sensor m1 represents microphone 1, and sensor m2 represents microphone 2. In this case, the first output of the voice extraction system 2102 is the extracted voice signal of

20   interest, and the second output 2104 approximates the sum of all interfering noise sources.

Figure 21B shows a block diagram of a voice extraction architecture of an embodiment receiving two inputs and providing five outputs. This extension provides three alternate methods of computing the extracted voice signal of interest. One such procedure, Method 2a, is to subtract the second output, or extracted noise, from the second microphone (i.e., microphone 2 - Extracted Noise). This approximates the speech signal, or signal of interest, content in microphone 2. When using this method the second microphone is placed further away from the speaker's mouth and thus may have a lower signal-to-noise ratio (SNR) for the source signal of interest. In experiments conducted using this approach, in many cases where multiple sources were interfering with a single voice signal, the speech output using Method 2a provided a better SNR.

Method 2b is very similar to Method 2a, except that a filtered version of the extracted noise is subtracted from the second microphone to more precisely match the noise component of the second microphone. In many noise environments this method approximates the signal of interest much better than the simple subtraction approach of Method 2a. The type of filter used with Method 2b can vary. One example filter type is a Least-Mean-Square (LMS) adaptive filter, but is not so limited. This filter optimally filters the extracted noise by adapting the filter coefficients to best reduce the power (autocorrelation) of one or more error signals, such as the difference signal between the filtered extracted noise and the second microphone input. Typically, the speech (signal of interest) component of the second microphone is uncorrelated with the noise in that microphone signal.

Therefore, the filter adapts only to minimize the remaining or residual noise in the Method 2b extracted speech output signal.

Method 2c is similar to Method 2b with the exception that the filtered extracted noise is subtracted from the first microphone instead of the second. This
5 method has the advantage of a higher starting SNR since the first microphone is now being used, the microphone that is closer to the speaker's mouth. One drawback of this approach is that the extracted noise derived from the second microphone is less similar to that found on microphone one and requires more complex filtering.

It is noted that all microphones or sound sensing devices have one or more
10 polar patterns that describe how the microphones receive sound signals from various directions. **Figures 22A-D** show four types of microphone directivity patterns used in an embodiment. The microphone arrays of an embodiment can accommodate numerous types and combinations of directivity patterns, including but not limited to these four types.

15 **Figure 22A** shows an omnidirectional microphone signal sensing pattern. An omnidirectional microphone receives sound signals approximately equally from any direction around the microphone. The sensing pattern shows approximately equal amplitude received signal power from all directions around the microphone. Therefore, the electrical output from the microphone is the same regardless of from
20 which direction the sound reaches the microphone.

Figure 22B shows a cardioid microphone signal sensing pattern. The kidney-shaped cardioid sensing pattern is directional, providing full sensitivity (highest output from the microphone) when the source sound is at the front of the microphone. Sound received at the sides of the microphone (±90 degrees from the front) has about half of the output, and sound appearing at the rear of the microphone (180° from the front) is attenuated by approximately 70%-90%. A cardioid pattern microphone is used to minimize the amount of ambient (e.g., room) sound in relation to the direct sound.

Figure 22C shows a figure-eight microphone signal sensing pattern. The figure-eight sensing pattern is somewhat like two cardioid patterns placed back-to-back. A microphone with a figure-eight pattern receives sound equally at the front and rear positions while rejecting sounds received at the sides.

Figure 22D shows a hypercardioid microphone signal sensing pattern. The hypercardioid sensing pattern produces full output from the front of the microphone, and lower output at ±90 degrees from the front position, providing a narrower angle of primary sensitivity as compared to the cardioid pattern. Furthermore, the hypercardioid pattern has two points of minimum sensitivity, located at approximately ±140 degrees from the front. As such, the hypercardioid pattern suppresses sound received from both the sides and the rear of the microphone. Therefore, hypercardioid patterns are best suited for isolating instruments and vocalists from both the room ambience and each other.

The methods or techniques of the voice extraction system of an embodiment are embodied in machine-executable instructions, such as computer instructions. The instructions can be used to cause a processor that is programmed with the instructions to perform voice extraction on received signals. Alternatively, the methods of an embodiment can be performed by specific hardware components that contain the logic appropriate for the methods executed, or by any combination of the programmed computer components and custom hardware components. Furthermore, the voice extraction system of an embodiment can be used in distributed computing environments.

The description herein of various embodiments of the invention has been presented for purpose of illustration and description. It is not intended to limit the invention to the precise forms disclosed. Many modifications and equivalent arrangements will be apparent.